



Investment Recommendations: Disclosures of Conflicts of Interest

Article 20 of the European Union’s Market Abuse Regulation (MAR) requires market participants who produce or disseminate investment recommendations or other information recommending or suggesting an investment strategy to disclose certain potential conflicts of interest.

In accordance with these requirements:

- TD Securities, or an affiliated company, has been lead manager or co-lead manager of a publicly disclosed offer of financial instruments of the following issuers (by ticker) within the last 12 months¹:

Ticker					
AALLN	APH	BEP-U CN	CCK	CS	EAGCCT
AAPL	AP-U CN	BGS	CCL/B CN	CSHU	EBRD
AAR-U CN	APUCN	BIP	CCLAU	CSH-U CN	ECICN
ABC	AQN CN	BIP-U CN	CDCEPS	CSL	ECN CN
ABIBB	AQY UN	BK	CDR	CUCBC	ED CN
ABN	ARE	BMO	CFPTCN	CUCN	EDC
ACACN	ARE US	BMO CN	CHBANI	CUMBER	EFN CN
ACAFP	ARIFL	BMW	CHE-U CN	CVA	EFSF
ACGB	ARMK	BNG	CHPUCN	CVE CN	EFXCN
ACR-U CN	ASH	BNP	CHTR	CVECEN	EIB
ADZN CN	ASIA	BNS	CIXCN	CWBCN	EIBKOR
AER	ATO	BOE	CJ CN	CWTUCN	EIF CN
AFDB	ATP CN	BPO CN	CLR	DAIGR	EIX
AFN CN	ATUS US	BRIO CN	CM	DB	ELFCN
AGN	AVB	BRUPOW	CM CN	DCP	EMA CN
AGR	AX-U CN	BRX	CMCSA	DD	ENB CN
AI CN	AXUCN	BSX	CNP	DE	ENBGAS
AIREIN	AZO	BTB-U CN	CNQCEN	DHI	ENF CN
ALA CN	BAC	BWSTA	CNRCN	DIR-U CN	EPCOR
ALACN	BACR	BZLNZ	COE	DIS	EQBCN
ALC CN	BAH	C	COH	DLPH	EQIX CN
ALCTRA	BAM/A CN	CALLC	CONE	DLR	EQIXCN
ALLE	BANCO	CANADA	CPART	DML CN	ERE CN
ALTA	BAX	CAT	CPPIB	DNBNO	ERSTAA
AMT	BBI CN	CBCPLP	CPPIBC	DOLCN	ES
AMTD	BBU-U CN	CBS	CPX CN	DOO CN	ETE
AMXCA	BCECN	CC	CPXCEN	DQE	ETRHWW
AN	BCIMCR	CCDJ	CRROCK	DRG-U CN	EUROF
ANDX	BDN	CCI	CRRUCN	DROCK	EXR
ANZ	BDX	CCI US	CRTUCN	DXC	F

¹ 28 February 2018

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Ticker					
FAH/U CN	GZMCN	KBL CN	MRG-U CN	OLA CN	RENTEN
FASTR	H CN	KBLT CN	MRO	OLN	RMX CN
FC CN	HAROT	KBN	MR-U CN	OMERS	ROOT CN
FCA CN	HBM CN	KCN	MRUCN	ONCRTX	RSI CN
FCA/U CN	HEP	KEW/A CN	MS	ONT	RX
FCC CN	HES	KEY CN	MSI	ONTPOW	RY
FCD-U CN	HESM US	KFW	NA CN	OR CN	RYEUNI
FCRCN	HII	KIM	NAB	ORLY	S CN
FE	HNDA	KML CN	NACN	OSB CN	SAFA
FFAST 2017- F1	HOLX	KMP-U CN	NAROT	PCG	SAPCN
FFHCN	HOT-U CN	KOMINS	NBL	PDCE	SBAC
FHLB	HRUCN	KOMMUN	NBLX US	PDCN	SE
FHLMC	HSBC	KUNTA	NBRNS	PEP	SEBAG
FMSWER	HSECN	LACLEDE	NCA CN	PKI CN	SEK
FNMA	HST	LAD	NCX US	PKICN	SEMG
FNMA	HTHROW	LB	NDB	PLC CN	SGI CN
FORDO	HUBB	LB CN	NEDWBK	PLD	SGY CN
FORDR	HYNMTR	LBBW	NEE	PPL CN	SHBASS
FORTFD	IADB	LBCN	NESNVX	PPLCN	SHNHAN
FR CN	IAG	LEG	NGD CN	PPY CN	SIA CN
FRHYDR	IBM	LH	NGD US	PRV-U CN	SICKID
FRT	IBRD	LLG CN	NGVT	PSPCAP	SIEGR
FSZ CN	IFC	LLOYDS	NIB	PSX	SII CN
FTSCN	IFC CN	LMC CN	NISCAF	PSXP	SIS CN
FTTCN	IFCCN	LMT	NMOTR	PSXP US	SJ CN
GCCT	IHS	MAA	NRW	PVFCN	SJI
GEICN	IIP-U CN	MATW	NRW	PWF CN	SLFCN
GEO US	IMGCN	MCAPCO	NRWBK	Q	SMLP
GLCRTR	INFO	MCD	NSANY	QBRCN	SMU-U CN
GM	IPLCN	MCK	NSUS	QEP	SNC CN
GMCAR	IRM	MCO	NVACN	QTS	SNCCN
GOOS CN	IRM US	MET	NWH-U CN	RABOBK	SOCGEN
GPT US	IT	MFCCN	NWN	RAY/A CN	SOT-U CN
GS	ITC	MGCN	NWRWPT	REAL CN	SPBCN
GTY US	JDOT	MIDCON	NXE CN	REALT	SPIRE
GWCN	JPM	MP	OI	REFUCN	SRBANK
GWO CN	JWEL CN	MPLX	OKB	REG	SRT-U CN
GWOCN	KAMN US	MRCCN	OKE	REIUCN	SSTRT

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Ticker					
STLC CN	TCN CN	TORHYD	URI	WCP CN	WPX
STZ	TD	TOY CN	V	WDC	WPZ
SUN	TD CN	TOYOTA	VDC	WDC US	WRG CN
SVI CN	TEP	TRGP	VER	WFC	WRK
SXC US	TF CN	TRPCN	VETCN	WFDAU	WSTP
SYNCT	TGT	TV CN	VIICN	WFT	XCN
SY Y	TIHCN	U CN	VNO	WLTW	ZTS
T	TKOCN	UBS	VRXCN	WMCOLP	
TAHSFR	TMR CN	UDR	VTR	WMT	
TAO CN	TNT-U CN	UNH	VZ	WPG	

- TD Securities, or an affiliated company, has provided investment banking services to the following companies within the last 12 months².

Client Name	
Aecon Group Inc.	Marathon Oil Corporation
AltaGas Ltd.	Newcastle Gold Ltd.
Athabasca Oil Corporation	Nunavut Iron Ore, Inc.
Badger Daylighting Ltd.	OneREIT
Boat Rocker Media	Osum Oil Sands Corp.
Bonavista Energy Corporation	Painted Pony Energy Ltd.
CAE Inc.	Pengrowth Energy Corporation
Cameco Corporation	Penn West Petroleum Ltd.
Centrica plc	Redknee Solutions Inc.
Dominion Diamond Corporation	Rogers Sugar Inc.
EXFO Inc.	Shaw Communications Inc.
Goldcorp Inc.	Sprott Inc.
Hammerhead Resources Inc.	Tervita Corporation
HCN Management Services Ltd.	The Jean Coutu Group (PJC) Inc.
HNZ Group Inc.	The Toronto-Dominion Bank Group
Kew Media Group Inc.	The Wawanesa Mutual Insurance Company
Lalu Canada Inc.	The Williams Companies, Inc.
Lightstream Resources Ltd.	Veresen Inc.
Manitoba Telecom Services Inc.	WesternZagros Resources Ltd.

² 30 November 2017